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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/657,334	09/08/2003	Yong Chong	198497

HARRIS BEACH LLP
99 Gamsey Road
Pittsford, NY 14534



CONFIRMATION NO. 1663

FORMALITIES LETTER



OC000000012435841

Date Mailed: 04/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

08/16/2004 HALI11 00000005 10657334

01 FC:2051

65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

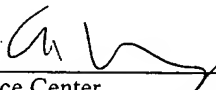
The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents

P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'G. V.', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Practitioner's Docket No. 198497

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yong Chong, L.L.-Koo Choi

Application No.: 10/657,334

Group No.: N/A

Filed: September 8, 2003

Examiner: N/A

For: FLOURESCENT LAMP DIMMER CONTROL

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS
—NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed April 23, 2004.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is a Petition Under 37 C.F.R. 1.47(b) in lieu of the Declaration.

08/16/2004 HALI11 00000006 10657334

01 FC:2252

210.00 OP

CERTIFICATION UNDER 37 C.F.R. § 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is *mandatory*;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. § 1.10*

☒ as "Express Mail Post Office to Addressee"
Mailing Label No. EL998148484 (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) _____

Marilyn Tillman
Signature

Date: August 12, 2004

Marilyn Tillman
(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

SMALL ENTITY STATUS

III. An assertion that this filing is by a small entity was filed on September 8, 2003 (original).

COMPLETION FEES

IV.

1. Surcharge Fees	
Late payment of filing fee	
and/or late filing of original	
declaration or oath	
(37 C.F.R. § 1.16(e))	\$65.00

Total Completion Fees	\$65.00
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EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant petitions for an extension of time, the small entity fee for which is set out in 37 C.F.R. § 1.17(a)(2), for two months.

Fee	\$210.00
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If an additional extension of time is required, please consider this a petition therefor.

TOTAL FEE DUE

VI. The total fee due is:

Completion fees	\$65.00
Extension fee (if any)	\$210.00
Total Fee Due	\$275.00

PAYMENT OF FEES

VII. Attached is a check in the amount of \$405, which includes the \$130 Petition fee.

Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 08-0865.

A duplicate of this paper is attached.

Date: _____

8/12/04



Neal L. Slifkin
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585-419-8636



Certificate of Mailing By "Express Mail"

"Express Mail" Mailing Label Number: EL 998148484 US

Date of Deposit: August 12, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service with sufficient postage on the date indicated above and is addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Name of Person Signing Certificate: Marilyn Tillman

Signature: Marilyn Tillman

Date of Signing: August 12, 2004